



## Provider Alert

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### New York Executive Order No. 4 New York Circular Letter No.1

On September 27, 2021, NY Governor Kathy Hochul issued Executive Order No. 4 to address the current hospital staffing shortages. The Executive Order No 4 can be found [here](#).

On October 27, 2021, Gov. Hochul issued Executive Order No. 4.1: Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.1 can be found [here](#).

On November 26, 2021, Gov. Hochul issued Executive Order No.4.2: Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.2 can be found [here](#).

On December 26, 2021, Gov. Hochul issued Executive Order 4.3 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.3 can be found [here](#).

On December 31, 2021, Gov. Hochul issued Executive Order 4.4 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.4 can be found [here](#).

On January 13, 2022, Gov. Hochul issued Executive Order 4.5 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.5 can be found [here](#).

On March 1, 2022, Gov. Hochul issued Executive Order 4.6 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.6 can be found [here](#).

On March 31, 2022, Gov. Hochul issued Executive Order 4.7 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.7 can be found [here](#).



On April 30, 2022, Gov. Hochul issued Executive Order 4.8 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.8 can be found [here](#).

On May 30, 2022, Gov. Hochul issued Executive Order 4.9 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.9 can be found [here](#).

On June 29, 2022, Gov. Hochul issued Executive Order 4.10 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.10 can be found [here](#).

On July 29, 2022, Gov. Hochul issued Executive Order 4.11 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.11 can be found [here](#).

On August 28, 2022, Gov. Hochul issued Executive Order 4.12 Continuing the Declaration of a Statewide Disaster Emergency Due to Healthcare Staffing Shortages in the State of New York. Order No. 4.12 can be found [here](#).

Insurance Circular Letter No.9 (2021) requires all NY hospitals that are experiencing staffing shortages and wish to request certain Utilization Reviews (UR) and/or Appeals suspensions to submit a completed Certification form to all Carriers. The Certification to Support Suspensions of the Utilization Review Consistent with Executive Order No. 4 can be found [here](#).

Both **in-network** and **out-of-network** hospitals can submit a completed Certification form to request the suspensions.

The Certification form will tell carriers what Utilization Review suspensions (Prior Authorization, Concurrent Review and/or Retrospective Review) and Appeals suspensions (Internal and External) that hospital is requesting. Hospitals can request all or only some.

On August 29, 2022, Supplement No.1 to Insurance Circular Letter No. 9 (2021) has the provisions regarding the suspensions of utilization review and the internal and external appeal timeframes for hospitals, as extended, **ended** as of August 28, 2022. Read the supplement [here](#).



## New York Circular Letter No.1

On January 6, 2022, Insurance Circular No. 1 (2022) was published. The letter includes suspension of Preauthorization Requirements for Insured Transfers Between In-Network Hospitals. Read the complete letter [here](#).

On February 3, 2022, Supplement No.1 to Insurance Circular Letter No. 1 (2022) has extended utilization reviews until February 28, 2022. Read the supplement [here](#).

Optum Behavioral Health will continue to monitor this situation and follow all applicable guidelines from the State of New York.