

UnitedHealthcare Community Plan

SUPERVISORY PROTOCOL

Clinic Name:

City / State:

Audit Date:

Auditor:

Rating Scale: Y = Yes N = No

Y N N/A

1 The Clinical Supervisor(s) is/are an Optum/UBH credentialed clinician.

--	--	--

Comments:

2 There is a written policy addressing the supervisory protocol utilized at the clinic.

--	--	--

Comments:

3 The patient's diagnostic assessment, treatment plan, and changes in diagnosis are reviewed and signed by the clinical supervisor.

--	--	--

Comments:

4 Verification of the Supervisee's training and education is documented and available for review.

--	--	--

Comments:

5 There is documentation that the Supervisor and Supervisee meet regularly on a one to one basis for supervision.

--	--	--

Comments:

6 The Supervisor's education level meets the state's clinical supervisory requirements or the Supervisor's education level is consistent with the supervisee's.

--	--	--

Comments:

7 Patients are informed that a clinician who is not credentialed by Optum/UBH is providing treatment to them.

--	--	--

Comments:

8 Clinical supervisor is an employee of the Group who provides mental health and/or substance abuse disorder services, and who's training and education is appropriate to the supervision they are providing.

Comments: